

(PCT Article 36 and Rule 70)

Date of submission of the demand	Date of completion of this report
Name and mailing address of the IPEA/EP	Authorized officer
Facsimile No.	Telephone No.

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

PCT/AT2004/000419

## Box No. I Basis of the report

1. With regard to the language, this report is based on the international application in the language in which it was filed, unless otherwise indicated under this item.
- ☐ This report is based on translations from the original language into the following language \_\_\_\_\_, which is the language of a translation furnished for the purposes of:
- ☐ international search (Rule 12.3 and 23.1(b))
- ☐ publication of the international application (Rule 12.4)
- ☐ international preliminary examination (Rule 55.2 and/or 55.3)
2. With regard to the elements of the international application, this report is based on *(replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report)*:
- ☐ the international application as originally filed/furnished
- ☒ the description:
- pages 1-7 as originally filed/furnished
- pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
- pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
- ☒ the claims:
- nos. \_\_\_\_\_ as originally filed/furnished
- nos.\* \_\_\_\_\_ as amended (together with any statement) under Article 19
- nos.\* 1-7 received by this Authority on 07.02.2006 with telefax
- nos.\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
- ☒ the drawings:
- sheets 1/2, 2/2 as originally filed/furnished
- sheets\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
- sheets\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
- ☐ a sequence listing and/or any related table(s) – see Supplemental Box Relating to Sequence Listing.
3. ☐ The amendments have resulted in the cancellation of:
- ☐ the description, pages \_\_\_\_\_
- ☐ the claims, nos. \_\_\_\_\_
- ☐ the drawings, sheets/figs \_\_\_\_\_
- ☐ the sequence listing (*specify*): \_\_\_\_\_
- ☐ any table(s) related to sequence listing (*specify*): \_\_\_\_\_
4. ☐ This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).
- ☐ the description, pages \_\_\_\_\_
- ☐ the claims, nos. \_\_\_\_\_
- ☐ the drawings, sheets/figs \_\_\_\_\_
- ☐ the sequence listing (*specify*): \_\_\_\_\_
- ☐ any table(s) related to sequence listing (*specify*): \_\_\_\_\_

\* If item 4 applies, some or all of those sheets may be marked "superseded."

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Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement		
1.	Statement		
	Novelty (N)	Claims <u>2-7</u>	YES
		Claims <u>1</u>	NO
	Inventive step (IS)	Claims _____	YES
		Claims <u>1-7</u>	NO
	Industrial applicability (IA)	Claims <u>1-7</u>	YES
		Claims _____	NO
2.	Citations and explanations (Rule 70.7)		
1.	Reference is made to the following documents:		
	D1: US-A-2 637 457		
	D2: US-A-2 507 341.		
2.	The present application fails to satisfy the requirements of PCT Article 33(1) because the subject matter of <b>claim 1 lacks novelty</b> (PCT Article 33(2)).		
2.1	Document D1 discloses (the references in brackets are to said document) a method for the removal of material mined underground, two vehicles (see figure 3, "shuttle car" and reference sign 1) being <u>utilised</u> in the route section between the working face (7) and a continuously tracked conveying means (see column 2, lines 14-17), and at least one delivery of material being effected, between the working face (7) and the delivery of the material to the conveying means, by a vehicle (1) to a further vehicle ("shuttle car").		
	/...		

Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
	<p>2.2 According to the amended claim 1, the two vehicles <u>travel</u> over the route section between the working face and the delivery of the material to the conveying means. The expression "travel" signifies only "to move" and since the two vehicles according to D1 move, one back and forth and the other exclusively behind the "continuous miner", it is considered that the feature "travel" is included in D1. In consequence, claim 1 lacks novelty.</p> <p>3. All the features of claims 2-7 relate to the raisable and lowerable conveying system of each vehicle.</p> <p>3.1 The <b>problem addressed</b> by means of the aforementioned features can therefore be regarded as that of making it possible to unload the vehicle as fast and as effectively as possible, which cannot be considered inventive (PCT Article 33(3)) over the device disclosed in document D2. Said document discloses a vehicle with a linear conveying device ("shuttle car"), which device is located on the vehicle chassis in such a way that it can be raised, lowered and advanced in the conveying direction (see column 1, paragraph 1). A "mine car" can be loaded by means of said conveying device and the use thereof in the vehicle disclosed in document D1 for solving the aforementioned problem is obvious to a person skilled in the art. Claims 2-7 are therefore not considered inventive (PCT Article 33(3)).</p>

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Box No. VII Certain defects in the international application

The following defects in the form or contents of the international application have been noted:

4. The features of claim 1 are not followed by reference signs placed between parentheses (PCT Rule 6.2(b)).
5. Contrary to PCT Rule 5.1(a)(ii), the description does not cite documents D1 and D2 or indicate the relevant prior art disclosed therein.

**Box No. VIII** Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

6.1 In claim 1, the expression "travel" is vague and lacks clarity, leaving the reader uncertain as to the meaning of the relevant technical feature. In consequence, the subject matter of said claim is not clearly defined (PCT Article 6).

6.2 This object could be overcome by means of the additional feature that "the at least two identical vehicles are driven back and forth between the working face and a continuously tracked conveyance means".